



# SENSITIVE SECURITY INFORMATION

(When Filled Out)



United States Coast Guard

## AREA MARITIME SECURITY (AMS) PLAN

### Review and Approval Checklist

Unit Submitting Plan		AMS Committee Name	
District Reviewing Plan	Reviewer	Area Approving Plan	Approving Official

**Directions:** This electronic checklist should be used by District and Area Commanders to review and approve all AMS Plans based on the plan elements contained in 33 CFR Part 103 and the criteria found in Enclosure (2) to Change 1 of NVIC 09-02. The plan reviewer should electronically fill in the appropriate box(es) that accurately best describes the appropriateness of each section under review. When choosing the appropriate box, SUBSTITUTE the “☐” symbol with the “☒” symbol. For any entries deemed not applicable, check “See Comments Below” and provide explanation in comments.

The entire plan shall be reviewed. However, the areas of the AMS Plan that are deemed MOST critical are:

- (1) IMO Compliance (*ISPS required items are written in green italics by ISPS cite*) including the completed Details of Port Facilities Form at the end of the Checklist;
- (2) The Area Maritime Security Committee Charter (Listed in Section 2300);
- (3) Area Maritime Security Assessments (Listed in Section 3300);
- (4) Communications Plan (Listed in Section 3400);
- (5) MARSEC Levels and Implementation Directives (Listed in Sections 3400, 4200, 4300, 4400, 4500, & 4600)
- (6) Control and Dissemination of Security Sensitive Information (Listed in Section 3500); and
- (7) Preparedness for Response (Listed in Section 5000)

**Note:** The “Critical Elements” of the AMS Plan are indicated in each applicable section of this Checklist. They are written in plain style bold type, Arial, highlighted in red, and a font size of 12.

### AREA MARITIME SECURITY PLAN

Section	Satisfactory Addressed	Not Satisfactory Addressed	See Comments Below
<b>1000 – AREA MARITIME SECURITY</b> This section of the plan describes basic administrative descriptions that should be addressed in the plan as found in Enclosure (2) to Change 1 of NVIC 09-02.			
<b>1100 – Purpose</b> 1. Does the plan satisfactory describe the purpose of the AMS Plan?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
<b>1200 – Captain of the Port (COTP) Letter of Promulgation</b> 2. Does the plan contain a COTP Letter of Promulgation?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
<b>1210 – Record of Changes</b> 3. Does the plan satisfactory describe how changes to the plan are recorded? <i>ISPS Part A 16.3.8.</i> 4. Does the plan contain a record of changes form?	<input type="checkbox"/>  <input type="checkbox"/>	<input type="checkbox"/>  <input type="checkbox"/>	<input type="checkbox"/>  <input type="checkbox"/>
<b>1300 – Authority</b> 5. Does the plan satisfactory describe AMS authority? 6. Does the plan state that the AMS Plan must be consistent with the National Maritime Transportation Security Plan and the National Transportation Security Plan?	<input type="checkbox"/>  <input type="checkbox"/>	<input type="checkbox"/>  <input type="checkbox"/>	<input type="checkbox"/>  <input type="checkbox"/>
<b>1310 – Federal Maritime Security Coordinator (FMSC)</b> 7. Does the plan satisfactorily describe the responsibilities of the FMSC? <i>ISPS Part A 16.3.6. / 16.3.10.</i>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

**WARNING:** This record contains Sensitive Security Information that is controlled under 49 CFR Part 1520. No part of this record may be disclosed to persons without a “need to know” as defined in 49 CFR 1520.5, except with the written permission of the Secretary of Homeland Security. Unauthorized release may result in civil penalty or other action. For U.S. Government agencies, public disclosure is governed by 5 U.S.C. 552 and 49 CFR Part 1520.

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## AREA MARITIME SECURITY PLAN

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<b>1400 – Scope</b> 8. Does the plan satisfactorily describe the scope of the AMS Plan?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
<b>1500 – Suppositions</b> 9. Does the plan contain suppositions that provide the foundation for the Coast Guard's approach to its MHS mission and successful implementation of the MTSA?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
<b>1600 – Situation</b> 10. Does the plan satisfactorily contain the "situation" comment in the AMS Plan?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
<b>1610 – Physical Characteristics</b> 11. Does the plan satisfactorily describe the boundaries of the COTP Zone, or Area, that the AMS Plan covers?  12. Does the plan contain a description of identifiable bodies of water, surrounding waterfronts and significant navigable waterways in the port areas?  13. Does the plan contain a description of the critical MTS infrastructure, both physical features (piers, docks, wharves) and systems used to collect and share information (e.g. Marine Exchange, VTS, JHOC, early warning networks, etc.)?  14. Does the plan contain a description of the vessel, cargo and facility interfaces and associated waterfront areas? <i>ISPS Part A 16.3.7. / 16.3.12.</i>  15. Does the plan contain a description of vessel traffic in the port (type and volume)?  16. Does the plan contain a description of any secondary ports within the COTP Zone?  17. Does the plan contain a description of port operations critical to other non-maritime related functions (e.g. intermodal connections, non-regulated facilities that rely on the MTS, etc.)?	<input type="checkbox"/>  <input type="checkbox"/>  <input type="checkbox"/>  <input type="checkbox"/>  <input type="checkbox"/>  <input type="checkbox"/>  <input type="checkbox"/>  <input type="checkbox"/>	<input type="checkbox"/>  <input type="checkbox"/>  <input type="checkbox"/>  <input type="checkbox"/>  <input type="checkbox"/>  <input type="checkbox"/>  <input type="checkbox"/>  <input type="checkbox"/>	<input type="checkbox"/>  <input type="checkbox"/>  <input type="checkbox"/>  <input type="checkbox"/>  <input type="checkbox"/>  <input type="checkbox"/>  <input type="checkbox"/>  <input type="checkbox"/>
<b>1620 – Economic Characteristics</b> 18. Does the plan satisfactorily contain a brief description of the major economic elements of the relevant COTP zone, including port activities, stadiums, national icons, large conference centers, population densities, industries, and products for the port?  19. Does the plan briefly describe types of industry?  20. Does the plan briefly describe major inter-modal connectors? <i>ISPS Part A 16.3.12</i>  21. Does the plan briefly describe major cargoes? <i>ISPS Part A 16.3.12</i>  22. Does the plan briefly describe recent economic data as per existing PSRAT?	<input type="checkbox"/>  <input type="checkbox"/>  <input type="checkbox"/>  <input type="checkbox"/>  <input type="checkbox"/>	<input type="checkbox"/>  <input type="checkbox"/>  <input type="checkbox"/>  <input type="checkbox"/>  <input type="checkbox"/>	<input type="checkbox"/>  <input type="checkbox"/>  <input type="checkbox"/>  <input type="checkbox"/>  <input type="checkbox"/>
<b>1630 – Ports, Charts and Maps</b> 23. Does the plan contain ports, charts and maps included in appendices to the AMS Plan?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

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<b>2000 AREA MARITIME SECURITY COMMITTEE</b> This section of the plan describes the AMS Committee applicable to the COTP Zone described in the AMS Plan as found in Enclosure (2) to Change 1 of NVIC 09-02.			
<b>2100 – Introduction</b> 24. Does the plan contain an introduction that addresses the AMS Committee? <i>ISPS Part A 16.3.6</i>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
<b>2200 – Purpose and Objectives</b> 25. Does the plan satisfactorily describe the purpose of the AMS Committee? <i>ISPS Part A 16.3.6</i>  26. Does the plan include the eight objectives of the AMS Committee? <i>ISPS Part A 16.3.6</i>	<input type="checkbox"/>    <input type="checkbox"/>	<input type="checkbox"/>    <input type="checkbox"/>	<input type="checkbox"/>    <input type="checkbox"/>
<b>2300 – Charter (Critical Element)</b> 27. Has the AMS Committee been established under the terms of a written charter IAW 33 CFR 103.300(b). <i>ISPS Part A 16.3.6</i>  28. Does the plan contain a copy of the AMS Committee Official Charter? <i>ISPS Part A 16.3.6</i>  29. Does the charter address the purpose and geographic area of responsibility? <i>ISPS Part A 16.3.6</i>  30. Does the charter address the rules for membership? <i>ISPS Part A 16.3.6</i>  31. Does the charter address the AMS Committee's organizational structure and rules of order? <i>ISPS Part A 16.3.6</i>  32. Does the charter address the frequency of meetings, to include not less than once in a calendar year or when requested by a majority of the AMS Committee members? <i>ISPS Part A 16.3.6</i>  33. Does the charter address the guidelines for public access to AMS Committee meetings and records? <i>ISPS Part A 16.3.6</i>  34. Does the charter address the rules for handling and protecting classified, sensitive security, commercially sensitive, and proprietary information? <i>ISPS Part A 16.3.6</i>	<input type="checkbox"/>                    <input type="checkbox"/>	<input type="checkbox"/>                    <input type="checkbox"/>	<input type="checkbox"/>                    <input type="checkbox"/>
<b>2310 – Committee Structure and Procedural Rules (Critical Element)</b> 35. Does this section of the plan satisfactorily describe AMS Committee structures and procedures? <i>ISPS Part A 16.3.6</i>  36. Does the AMS Committee consist of at least 7 members that have 5 or more years of experience related to maritime or port security operations? <i>ISPS Part A 16.3.6</i>  37. Are AMS Committee members appointed for a term of not more than 5 years? <i>ISPS Part A 16.3.6</i>	<input type="checkbox"/>   <input type="checkbox"/>  <input type="checkbox"/>	<input type="checkbox"/>   <input type="checkbox"/>  <input type="checkbox"/>	<input type="checkbox"/>   <input type="checkbox"/>  <input type="checkbox"/>

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38. Does the plan identify the AMS Committee Chairperson and Vice Chairperson? <i>ISPS Part A 16.3.6</i>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
39. Does the plan designate the Executive Secretary of the AMS Committee? <i>ISPS Part A 16.3.6</i>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
40. Does the plan satisfactorily describe the responsibilities of the appointed AMS Committee members as per 33 CFR 103.310(a)(1)-(5) & 103.310(b)? <i>ISPS Part A 16.3.6</i>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
<b>2320 – Relationship to Other Committees</b> 41. Does the plan describe the relationship of the AMS Committee with other committees? <i>ISPS Part A 16.3.6</i>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
<b>3000 – AWARENESS</b> This section of the plan describes the maritime situational awareness of the port as found in Enclosure (2) to Change 1 of NVIC 09-02.			
<b>3100 – Introduction</b> 42. Does the plan contain an introduction that addresses the overall maritime situational awareness for the port?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
<b>3200 – Federal, State &amp; Local Security &amp; Law Enforcement Agency Jurisdiction</b> 43. Does the plan include the jurisdiction of Federal, State, Indian Tribal, and local government agencies and law enforcement entities over area security related matters? <i>ISPS Part A 16.3.6</i> 44. Does the plan address the jurisdictional boundaries of Federal, State, and local security and law enforcement agencies within the COTP Zone? <i>ISPS Part A 16.3.6</i>	<input type="checkbox"/>  <input type="checkbox"/>	<input type="checkbox"/>  <input type="checkbox"/>	<input type="checkbox"/>  <input type="checkbox"/>
45. Does the plan briefly describe the first, second, and third tier response agencies and how to arrange for special teams response?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
<b>3300 – Area Maritime Security Assessment – as per PSRAT (Critical Element)</b> 46. Does the plan contain the assessment methodology? 47. Does the plan indicate that consideration was given to the nine items listed in 33 CFR 103.405(b)(1)-(9) in order to meet the AMS Assessment elements listed above?	<input type="checkbox"/> <input type="checkbox"/>	<input type="checkbox"/> <input type="checkbox"/>	<input type="checkbox"/> <input type="checkbox"/>
<b>3310 – Maritime Security Assessment Report (Critical Element)</b> 48. Does the plan contain an AMS Assessment Report that consists of a summary of how the AMS Assessment was conducted 49. Does the plan contain an AMS Assessment Report that consists of a description of each vulnerability and consequences found during the AMS Assessment? 50. Does the plan contain an AMS Assessment Report that consists of a description of risk reduction strategies that could be used to ensure continued operation at an acceptable risk level?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>

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51. Does the plan refers to an AMS Assessment that included a threat assessment that identifies and evaluates each potential threat based on various factors, including capability and intention?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
52. Does the plan contain an AMS Assessment that includes a determination of the required security measures for the three MARSEC Levels?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
53. Does the plan state that the AMS Assessment is SSI and must be protected IAW 49 CFR Part 1520?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
<b>3400 – Communications (Critical element)</b> 54. Does the plan comment on the effective use of communications for all personnel involved in the security process? <i>ISPS Part A 16.3.7.</i>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
<b>3410 – Communication of Security Information (Critical element)</b> 55. Does the plan include procedures for communicating security and threat information? <i>ISPS Part A 16.3.7.</i>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
<b>3410.1 – Communication With the Public (Critical element)</b> 56. Does the plan include procedures for communicating appropriate security and threat information with the public?  57. Does the plan identify the means of communications that will be used in emergency and non-emergency situations to communicate security information related to the maritime environment with the general public?	<input type="checkbox"/>  <input type="checkbox"/>	<input type="checkbox"/>  <input type="checkbox"/>	<input type="checkbox"/>  <input type="checkbox"/>
<b>3410.2 – Communications With Waterway Users (Critical element)</b> 57. Does the plan include procedures for communicating appropriate security and threat information with waterway users? <i>ISPS Part A 16.3.7.</i>  58. Does the plan identify the means of communications that will be used to provide security information to waterway users in emergency and non-emergency situations and how notifications will be made? <i>ISPS Part A 16.3.7.</i>	<input type="checkbox"/>  <input type="checkbox"/>	<input type="checkbox"/>  <input type="checkbox"/>	<input type="checkbox"/>  <input type="checkbox"/>
<b>3410.3 – Communications With Commercial Vessels (Critical Element)</b> 59. Does the plan include procedures for communicating appropriate security and threat information with commercial vessels? <i>ISPS Part A 16.3.7. / 16.3.15.</i>  60. Does the plan include procedures for responding when a vessel security alert system on board a vessel within or near the port has been activated? <i>ISPS Part A 16.3.7. / 16.3.14.</i>  61. Does the plan identify the means of communication that will be used to communicate security information to commercial vessels and the Vessel Security Officer (VSO)? <i>ISPS Part A 16.3.7. / 16.3.15.</i>	<input type="checkbox"/>  <input type="checkbox"/>  <input type="checkbox"/>	<input type="checkbox"/>  <input type="checkbox"/>  <input type="checkbox"/>	<input type="checkbox"/>  <input type="checkbox"/>  <input type="checkbox"/>

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62. Does the plan describe the role that facilities and shipping agents will play in ensuring that all vessels are notified of relevant security information? <i>ISPS Part A 16.3.7. / 16.3.15.</i>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
63. Does the plan describe how receipt of security information will be verified and documented? <i>ISPS Part A 16.3.7.</i>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
<b>3410.4 – Communications With Facilities (Critical Element)</b>			
64. Does the plan include procedures for communicating appropriate security and threat information with facilities?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
65. Does the plan include a list of Facility Security Officers (FSO) located within the COTP Zone, including 24-hr contact information for each FSO?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
66. Does the plan identify the means of communication that will be used to pass general and emergency security information to FSOs, including the passage of SSI?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
67. Does the plan identify the means of communication that will be used to verify the receipt of passed information?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
<b>3410.5 – Communications With Companies (Critical Element)</b>			
68. Does the plan include procedures for communicating appropriate security and threat information with companies? <i>ISPS Part A 16.3.7.</i>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
69. Does the plan include a list of Company Security Officers (CSO) responsible for the regulated vessels that normally operate at or within its facility, including 24-hr contact information for each CSO? <i>ISPS Part A 16.3.7.</i>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
70. Does the plan identify the means of communication that will be used to pass security information to CSOs? <i>ISPS Part A 16.3.7.</i>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
<b>3420 – Security Reporting (Critical Element)</b>			
71. Does the plan describe measures to be taken to ensure all individuals making reports are informed of their responsibility to contact the National Response Center? <i>ISPS Part A 16.3.3. / 16.3.9.</i>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
72. Does the plan include measures that the local authorities have been notified? <i>ISPS Part A 16.3.3. / 16.3.9.</i>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
<b>3420.1 – Procedures for Reporting Suspicious Activity (Critical Element)</b>			
73. Does the plan include procedures for handling reports from the public and the maritime industry regarding suspicious activity? <i>ISPS Part A 16.3.3. / 16.3.9.</i>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
<b>3420.2 - Procedures for Reporting Breaches in Security (Critical Element)</b>			
74. Does the plan include procedures for handling reports from the public and the maritime industry regarding breaches in security? <i>ISPS Part A 16.3.3. / 16.3.9.</i>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

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75. Does the plan identify the methods for communicating breaches in security? <i>ISPS Part A 16.3.3. / 16.3.9.</i>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
76. Does the plan identify how non-105 regulated facilities are encouraged to report breaches in security?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
<b>3430 – MARSEC Directives (Critical Element)</b> 77. Does the plan describe the purpose of MARSEC Directives? <i>ISPS Part A 16.3.7. / 16.3.12.</i>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
<b>3430.1 – Procedures for Communicating MARSEC Directives (Critical Element)</b> 78. Does the plan include detailed procedures for disseminating MARSEC Directives? <i>ISPS Part A 16.3.7. / 16.3.12.</i>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
79. Does the plan include detailed procedures on who will be granted access to MARSEC Directives? <i>ISPS Part A 16.3.7. / 16.3.12.</i>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
80. Does the plan include detailed procedures on who will be issued MARSEC Directives? <i>ISPS Part A 16.3.7. / 16.3.12.</i>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
81. Does the plan include detailed procedures on the means of tracking persons that have been given access to what MARSEC Directive? <i>ISPS Part A 16.3.7. / 16.3.12.</i>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
<b>3430.2 – Procedures for Responding to MARSEC Directives (Critical Element)</b> 82. Does the plan include procedures for receiving notice of compliance with MARSEC Directives? <i>ISPS Part A 16.3.7. / 16.3.12.</i>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
83. Does the plan include procedures for verifying that all entities affected by the MARSEC Directives are in compliance? <i>ISPS Part A 16.3.7. / 16.3.12.</i>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
84. Does the plan include general procedures for dealing with entities that request equivalent security measures or waivers? <i>ISPS Part A 16.3.7. / 16.3.12.</i>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
<b>3430.3 – Role of the Area Maritime Security (AMS) Committee (Critical Element)</b> 85. Does the plan describe the role of the AMS Committee in communicating MARSEC Directives? <i>ISPS Part A 16.3.6. / 16.3.7. / 16.3.12.</i>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
<b>3440 – MARSEC Levels (Critical Element)</b> 86. Does the plan satisfactorily describe MARSEC Levels and the link between MARSEC Levels and the HSAS Threat Conditions? <i>ISPS Part A 16.3.7. / 16.3.15.</i>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
87. Does the plan identify who sets MARSEC Levels? <i>ISPS Part A 16.3.7. / 16.3.15.</i>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

**(When Filled Out)**

Section	Satisfactory Addressed	Not Satisfactory Addressed	See Comments Below
<b>3440.1 – Procedures to Communicate Changes in MARSEC Levels (Critical Element)</b> 88. Does the plan include procedures for providing notification of changes in MARSEC Levels? <i>ISPS Part A 16.3.7. / 16.3.15.</i>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
89. Does the plan include procedures to ensure that vessels, facilities, and operations that are not covered by 33 CFR Parts 104, 105, and 106 are informed of changes in MARSEC Levels?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
<b>3440.2 – Notification of MARSEC Level Attainment (Critical Element)</b> 90. Does the plan provide detailed procedures for confirming compliance with changes in MARSEC Level, and the corresponding prescribed security measures? <i>ISPS Part A 16.3.7.</i>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
91. Does the plan include general procedures for dealing with entities that cannot, or do not, comply with their security plans when a change in MARSEC Level occurs? <i>ISPS Part A 16.3.7.</i>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
<b>3500 Security Sensitive Information (Critical Element)</b> 92. Does the plan include procedures and practices to ensure the security of information contained in the AMS Plan? <i>ISPS Part A 16.3.11.</i>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
<b>3510 – Information Designated as Sensitive Security Information (Critical Element)</b> 93. Does the plan describe the information designated as SSI? <i>ISPS Part A 16.3.11.</i>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
<b>3520 – Covered Persons (Critical Element)</b> 94. Does the plan describe the meaning of Covered Persons? <i>ISPS Part A 16.3.11.</i>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
<b>3520.1 – Designation as a Covered Person (Critical Element)</b> 95. Does the plan describe the eleven types of persons that may be designated as a Covered Person? <i>ISPS Part A 16.3.11.</i>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
<b>3530 – Restrictions on the Disclosure of SSI (Critical Element)</b> 96. Does the plan include disclosure restrictions of SSI? <i>ISPS Part A 16.3.11.</i>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
<b>3540 – Persons With a “Need to Know” (Critical Element)</b> 97. Does the plan describe persons with a “need to know” to SSI? <i>ISPS Part A 16.3.11.</i>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
<b>3550 – Marking SSI (Critical Element)</b> 98. Does the plan describe how SSI shall be marked? <i>ISPS Part A 16.3.11.</i>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>



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<b>3560 – SSI Disclosed by or to the Coast Guard (Critical Element)</b> 99. Does the plan describe how SSI is disclosed by or to the Coast Guard? <i>ISPS Part A 16.3.11.</i>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
<b>3570 – Consequences of Unauthorized Disclosure of SSI (Critical Element)</b> 100. Does the plan describe the consequences of unauthorized disclosure of SSI? <i>ISPS Part A 16.3.11.</i>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
<b>3580 – Destruction of SSI (Critical Element)</b> 101. Does the plan describe how SSI shall be destroyed? <i>ISPS Part A 16.3.11.</i>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
<b>3590 – Procedures for Communicating SSI Material (Critical Element)</b> 102. Does the plan describe procedures for communicating SSI material? <i>ISPS Part A 16.3.11.</i>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
<b>3600 – Maritime Security Training</b> 103. Does the plan contain a discussion on how AMS Committee members receive maritime security training?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
<b>3700 – Security Resources</b> 104. Does the plan include a list of all security resources that are available for incident response?  105. Does the plan describe the security resources and their estimated timeframe for the dispatch of responding units?	<input type="checkbox"/>   <input type="checkbox"/>	<input type="checkbox"/>   <input type="checkbox"/>	<input type="checkbox"/>   <input type="checkbox"/>
<b>4000 – PREVENTION</b> This section of the plan describes how the FMSC, in consultation with the AMS Committee, will plan and predesignate preventive and protective security measures to be assumed at each MARSEC Level as found in Enclosure (2) to Change 1 of NVIC 09-02.			
<b>4100 – Introduction</b> 106. Does the plan contain an introduction that addresses the preventive and protective security measures to be assumed at each MARSEC Level for the port?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
<b>4200 – Maritime Security (MARSEC) Level Planning</b>			
<b>4220 – Procedures to be Used When a Vessel and a Facility are at Different MARSEC Levels (Critical Element)</b> 107. Does the plan describe procedures to be taken when a vessel is at a higher security level than the facility or port it is visiting? <i>ISPS Part A 16.3.7.</i>  108. Does the plan include FMSC procedures to ensure an inbound vessel is instructed to raise its MARSEC Level? <i>ISPS Part A 16.3.7.</i>	<input type="checkbox"/>   <input type="checkbox"/>	<input type="checkbox"/>   <input type="checkbox"/>	<input type="checkbox"/>   <input type="checkbox"/>

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(When Filled Out)

## AREA MARITIME SECURITY PLAN

Section	Satisfactory Addressed	Not Satisfactory Addressed	See Comments Below
109. Does the plan include procedures that describe the notifications that are required to vessels and to the FMSC, when a facility receives information that a vessel is arriving operating at a lower MARSEC Level than the facility? <i>ISPS Part A 16.3.7.</i>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
110. Does the plan describe corrective actions that should be taken?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
<b>4230 – Procedures for Requesting Equivalencies and Waivers to MARSEC Directives (Critical Element)</b>			
111. Does the plan include procedures for requesting equivalencies and waivers for specific measures required by the MARSEC Level? <i>ISPS Part A 16.3.7.</i>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
112. Does the plan include procedures that explain how the FMSC will convey approval of equivalencies? <i>ISPS Part A 16.3.7.</i>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
<b>4300 – MARSEC Level 1</b>			
<b>4310 – Roles, Resources, Authorities, and Responsibilities (Critical Element)</b>			
113. Does the plan describe how, and by whom, security procedures will be implemented at the port? <i>ISPS Part A 16.3.6.</i>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
<b>4320 – Standard Security Procedures for MARSEC Level 1 (Critical Element)</b>			
114. Does the plan describe the FMSC review process for ensuring MARSEC Level 1 requirements are in alignment with internal CG guidance?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
<b>4330 – Physical Security Measures (Critical Element)</b>			
115. Does the plan include details of the physical security measures that are in place in the port at MARSEC Level 1?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
116. Does the plan include a description of the security measures designed to ensure effective security of infrastructure, special events, vessels, passengers, cargo, and cargo handling equipment at facilities within the port (not otherwise covered by an approved VSP or FSP)?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
117. Does the plan include a description of the measures to prevent the introduction of dangerous substances and devices into designated restricted areas within the port? <i>ISPS Part A 16.3.1.</i>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
118. Does the plan include a description of the measures to prevent unauthorized access to designated restricted areas within the port? <i>ISPS Part A 16.3.2.</i>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
<b>4340 – Operational Security (OPSEC) Measures (Critical Element)</b>			
119. Does the plan include a discussion of “critical information” to ensure all applicable personnel are aware that the threat is real and active in all aspects of Coast Guard missions?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

# SENSITIVE SECURITY INFORMATION

(When Filled Out)

## AREA MARITIME SECURITY PLAN

Section	Satisfactory Addressed	Not Satisfactory Addressed	See Comments Below
<b>4400 – MARSEC Level 2</b>			
<b>4410 – Standard Security Procedures for MARSEC Level 2 (Critical Element)</b> 120. Does the plan describe the FMSC review process for ensuring MARSEC Level 2 requirements are in alignment with internal CG guidance?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
<b>4420 – Roles, Resources, Authorities, and Responsibilities (Critical Element)</b> 121. Does the plan describe how, and by whom, security procedures will be implemented at the port? <i>ISPS Part A 16.3.6.</i>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
<b>4430 – Physical Security Measures (Critical Element)</b> 122. Does the plan include details of additional physical security measures that enable the port to progress, without delay, to MARSEC Level 2?  123. Does the additional physical security measures in the plan consider facilities not regulated under 33 CFR 105 or 106 (e.g., electrical transmission lines, communication transmitters, bridges, tunnels, mass transit bridges/tunnels, stadiums, aquariums, amusement parks, waterfront parks, marine events, nuclear power plants, and marinas).	<input type="checkbox"/>  <input type="checkbox"/>	<input type="checkbox"/>  <input type="checkbox"/>	<input type="checkbox"/>  <input type="checkbox"/>
<b>4440 – Operational Security Measures (Critical Element)</b> 124. Does the plan describe detailed procedures to verify attainment of MARSEC Level 2 OPSEC measures?  125. Does the procedures in the plan consider requiring additional OPSEC measures for safeguarding information related to vessel arrivals, departure, shifting, and cargoes?  126. Does the plan address how the FMSC will conduct spot checks of OPSEC measures (within four hours of receiving reports of MARSEC Level 2 attainment) employed by vessels and facilities, and vessels and facilities not regulated under 33 CFR Parts 104, 105, and 106, and immediately advise owners/operators of any concerns?	<input type="checkbox"/>  <input type="checkbox"/>  <input type="checkbox"/>	<input type="checkbox"/>  <input type="checkbox"/>  <input type="checkbox"/>	<input type="checkbox"/>  <input type="checkbox"/>  <input type="checkbox"/>
<b>4500 – MARSEC Level 3</b>			
<b>4510 – Standard Security Procedures for MARSEC Level 3 (Critical Element)</b> 127. Does the plan describe the FMSC review process for MARSEC Level 3 requirements are in alignment with internal CG guidance? <i>ISPS Part A 16.3.4.</i>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
<b>4520 – Roles, Resources, Authorities, and Responsibilities (Critical Element)</b> 128. Does the plan describe how, and by whom, security procedures will be implemented at the port? <i>ISPS Part A 16.3.4. / 16.3.6.</i>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
<b>4530 – Physical Security Measures (Critical Element)</b> 129. Does the plan include details of additional physical security measures that enable the port to progress, without delay, to MARSEC Level 3? <i>ISPS Part A 16.3.4.</i>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

# SENSITIVE SECURITY INFORMATION

(When Filled Out)

## AREA MARITIME SECURITY PLAN

Section	Satisfactory Addressed	Not Satisfactory Addressed	See Comments Below
130. Do the additional physical security measures in the plan consider the enhancement of security procedures required at MARSEC Level 1 and 2 for vessels and facilities, and vessels and facilities not regulated under 33 CFR Parts 104, 105, and 106. <i>ISPS Part A 16.3.4. / 16.3.12.</i>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
131. Do the additional physical security measures in the plan consider the identification and employment of additional mechanisms that regulated vessels and facilities monitor restricted areas to protect against imminent security incident; Control access; and Protect against an imminent security incident? <i>ISPS Part A 16.3.2. / 16.3.4.</i>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
132. Do the additional physical security measures in the plan consider requiring additional security measures for facilities not regulated under 33 CFR 105, and 106 (e.g., electrical transmission lines, communication transmitters, bridges, tunnels, mass transit bridges/tunnels, stadiums, aquariums, amusement parks, waterfront parks, marine events, nuclear power plants, and marinas). <i>ISPS Part A 16.3.4.</i>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
<b>4540 – Operational Security Measures (Critical Element)</b>			
133. Does the plan describe procedures for responding to any security instructions the Coast Guard announces at MARSEC Level 3? <i>ISPS Part A 16.3.4.</i>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
134. Does the plan describe detailed procedures to verify attainment of MARSEC Level 3 OPSEC measures? <i>ISPS Part A 16.3.4.</i>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
135. Does the procedures in the plan consider requiring additional OPSEC measures for safeguarding information related to vessel arrivals, departure, shifting, and cargoes? <i>ISPS Part A 16.3.4. / 16.3.12.</i>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
136. Does the plan address how the FMSC will conduct checks of OPSEC measures (within one hour of receiving reports of MARSEC Level 3 attainment) employed by vessels and facilities, and vessels and facilities not regulated under 33 CFR Parts 104, 105, and 106, and immediately advise owners/operators of any concerns? <i>ISPS Part A 16.3.4.</i>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
<b>4600 – Public Access Facility</b>			
137. Does the plan define a Public Access Facility?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
<b>4610 – Designation of Public Access Facilities (PAF)</b>			
138. Does the plan provide a list of all designated PAF with the port area?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
139. Does the plan describe the security measures that must be implemented at the PAF at various MARSEC Levels?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
140. Does the plan identify who is responsible for implementing the security measures at the PAF at various MARSEC Levels?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
141. Does the plan identify how to contact the person(s) responsible for implementing the security measures, including 24-hour contact information?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
<b>4620 – Withdrawal of Designation</b>			
142. Does the plan describe how the FMSC may withdraw a facility's designation as a PAF?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
<b>4700 – Maritime Worker Credentials (Reserved)</b> <i>ISPS Part A 16.3.15.</i>			

# SENSITIVE SECURITY INFORMATION

(When Filled Out)

## AREA MARITIME SECURITY PLAN

Section	Satisfactory Addressed	Not Satisfactory Addressed	See Comments Below
<b>5000 – PREPAREDNESS FOR RESPONSE</b> This section of the plan describes post-incident consequence procedures for response mitigation efforts within the port area.			
<b>5100 – Introduction (Critical Element)</b> 143. Does the plan contain an introduction that addresses post-incident response to an incident that has occurred with the port? <i>ISPS Part A 16.3.3.</i>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
<b>5110 – Procedures for Responding to Suspicious Activity (Critical Element)</b> 144. Does the plan describe the response procedures to be implemented in the event of a report of suspicious activity within the COTP AOR? <i>ISPS Part A 16.3.3.</i>  145. Does the plan identify the expected timeframes of responding resources in the event of a report of suspicious activity within the COTP AOR? <i>ISPS Part A 16.3.3.</i>	<input type="checkbox"/>  <input type="checkbox"/>	<input type="checkbox"/>  <input type="checkbox"/>	<input type="checkbox"/>  <input type="checkbox"/>
<b>5120 – Procedures for Responding to Breaches of Security (Critical Element)</b> 146. Does the plan describe the response procedures to be implemented in the event of a report of a breach of security within the COTP AOR? <i>ISPS Part A 16.3.3.</i>  147. Does the plan identify the entities responsible for responding to breaches of security? <i>ISPS Part A 16.3.3.</i>  148. Does the plan identify the expected timeframes of responding resources in the event of a report of a breach of security within the COTP AOR? <i>ISPS Part A 16.3.3.</i>  149. Does the plan discuss AMS Committee consideration of geographic capabilities (jurisdictional boundaries, mutual aid agreements, etc.) of Federal, State, County, and local law enforcement entities and consequence mitigation resources in determining which entities will respond to breaches of security at high consequence targets? <i>ISPS Part A 16.3.3.</i>	<input type="checkbox"/>  <input type="checkbox"/>  <input type="checkbox"/>  <input type="checkbox"/>	<input type="checkbox"/>  <input type="checkbox"/>  <input type="checkbox"/>  <input type="checkbox"/>	<input type="checkbox"/>  <input type="checkbox"/>  <input type="checkbox"/>  <input type="checkbox"/>
<b>5200 – Transportation Security Incident (TSI)</b>			
<b>5210 – Procedures for Notification (Critical Element)</b> 150. Does the plan describe specific notification procedures for reporting a TSI? <i>ISPS Part A 16.3.3. / 16.3.9.</i>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
<b>5220 – Incident Command Activation (Critical Element)</b> 151. Does the plan provide general details of the security incident command and response structure? <i>ISPS Part A 16.3.3.</i>  152. Does the plan reference the national response posture addressed in the National Response Plan and use of NIMS ICS? <i>ISPS Part A 16.3.3.</i>	<input type="checkbox"/>  <input type="checkbox"/>	<input type="checkbox"/>  <input type="checkbox"/>	<input type="checkbox"/>  <input type="checkbox"/>

# SENSITIVE SECURITY INFORMATION

(When Filled Out)

## AREA MARITIME SECURITY PLAN

Section	Satisfactory Addressed	Not Satisfactory Addressed	See Comments Below
153. Does the plan address the general steps necessary to activate a crisis management command operations center? <i>ISPS Part A 16.3.3.</i>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
<b>5230 – Threats That do not Rise to the Level of a TSI (Critical Element)</b> 154. Does the plan describe procedures for responding to threats that do not rise to the level of a TSI? <i>ISPS Part A 16.3.3.</i>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
<b>5300 – Most Probable Transportation Security Incident (Critical Element)</b> 155. Does the plan describe the types of TSIs most likely to occur in the AMS zone? <i>ISPS Part A 16.3.3.</i>  156. Does the plan include a minimum of three scenarios? <i>ISPS Part A 16.3.3.</i>  157. Does each scenario provide procedures and steps that will be taken to respond, including command and control procedures, communications, and the initial response taken by port agencies? <i>ISPS Part A 16.3.3.</i>	<input type="checkbox"/>  <input type="checkbox"/>  <input type="checkbox"/>	<input type="checkbox"/>  <input type="checkbox"/>  <input type="checkbox"/>	<input type="checkbox"/>  <input type="checkbox"/>  <input type="checkbox"/>
<b>5310 – Identify Command Structure with Assigned Roles (ICS Flowchart) (Critical Element)</b> 158. Does the plan include an ICS flow chart identifying the assigned roles of the primary responders for each of the three required TSI scenarios? <i>ISPS Part A 16.3.3.</i>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
<b>5320 – Procedure for Responding to TSI (Critical Element)</b> 159. Does the plan describe procedures for responding to each of the three TSI scenarios? <i>ISPS Part A 16.3.3.</i>  160. Does the procedures in the plan identify the jurisdiction of the responding entities and the resources they will provide? <i>ISPS Part A 16.3.3.</i>	<input type="checkbox"/>  <input type="checkbox"/>	<input type="checkbox"/>  <input type="checkbox"/>	<input type="checkbox"/>  <input type="checkbox"/>
<b>5330 – Linkage with Applicable Federal, State, Port, &amp; Local Plans (Critical Element)</b> 161. Does the plan identify other relevant Federal, State, Port, and local plans that may be implemented as a result for each of the three required scenarios? <i>ISPS Part A 16.3.3.</i>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
162. Does the plan describe procedures for evacuation within the port in case of security threats or breaches of security? <i>ISPS Part A 16.3.5.</i>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
<b>5400 – Maritime Security Exercise Requirements (Critical Element)</b> 163. Does the plan describe the methodology for building an effective exercise program?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

# SENSITIVE SECURITY INFORMATION

(When Filled Out)

## AREA MARITIME SECURITY PLAN

Section	Satisfactory Addressed	Not Satisfactory Addressed	See Comments Below
<b>5410 – Purpose of the Exercise Program (Critical Element)</b> 164. Does the plan address the frequency and type of exercises to be included in the exercise program for COTP Zone?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
<b>5420 – Goals of the AMS Plan Exercise Program (Critical Element)</b> 165. Does the plan include the five goals of the Exercise Program?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
<b>5430 – Exercise Cycle (Critical Element)</b> 166. Does the plan describe the AMS Plan exercise cycle?  167. Does the plan describe how the exercise cycle will coordinate with the planning cycle so that information gathered during the exercise can be applied to scheduled revisions to the AMS Plan?	<input type="checkbox"/>  <input type="checkbox"/>	<input type="checkbox"/>  <input type="checkbox"/>	<input type="checkbox"/>  <input type="checkbox"/>
<b>5440 – Scheduling and Design (Critical Element)</b> 168. Does the plan include the eight items that will be included in designing the exercise program?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
<b>5450 – Consideration of Equivalent Response (Critical Element)</b> 169. Does the plan include procedures for requesting exercise credit when the AMS Plan is implemented in response to an actual threat?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
<b>5460 – Recordkeeping (Critical Element)</b> 170. Does the plan describe the recordkeeping requirements for exercises?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
<b>5470 – Linkages Between Family of Plans Within the Area (Critical Element)</b> 171. Does the plan address linkages to other contingency plans within the area?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
<b>6000 – CRISIS MANAGEMENT AND RECOVERY</b> This section of the plan describes security measures designed to ensure effective security of each maritime transportation system within the COTP Zone.			
<b>6100 - Introduction</b> 172. Does the plan include an introduction that contains a prioritized list of each transportation system within the COTP Zone from the most to least essential according to its importance to the continuity of operations of the port or zone? <i>ISPS Part A 16.3.3.</i>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
<b>6200 – Procedures to Maintain Infrastructure</b> 173. Does the plan contain procedures for prioritizing and protecting infrastructure according to their importance in maintaining the continuity of operations of the port? <i>ISPS Part A 16.3.3.</i>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
<b>6300 – Procedures for Recovery of MTS</b> 174. Does the plan include procedures to facilitate the recovery of the Marine Transportation System after a TSI, including reopening port(s), and affected waterways, or linkages to other port plans that address recovery of the MTS? <i>ISPS Part A 16.3.3.</i>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

**(When Filled Out)**

Section	Satisfactory Addressed	Not Satisfactory Addressed	See Comments Below
<b>7000 – COMPLIANCE MEASURES</b> This section of the plan describes the control and compliance measures that can be used by the FMSC in the enforcement of MTSA regulations.			
175. Does the plan describe the control and compliance measures that can be utilized by the FMSC?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
<b>8000 – PLAN DOCUMENTATION AND MAINTENANCE</b> This section of the plan describes how the AMS Plan will be periodically reviewed, updated, and maintained.			
<b>8100 – Initial Plan Review and Comment</b> 176. Does the plan describe how the AMS Plan will be initially reviewed and approved?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
<b>8110 – Procedures for Continuous Review and Update of AMS Plans</b> 177. Does the plan include procedures for regular audit of the AMS Plan? <i>ISPS Part A 16.3.13.</i>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
178. Does the plan include procedures for amendment of the AMS Plan in response to experience of changing circumstances? <i>ISPS Part A 16.3.8. / 16.3.13.</i>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
179. Does the plan include procedures for periodic review and updating of the AMS Plan? <i>ISPS Part A 16.3.8.</i>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
<b>8120 – Procedures for Continuous Review and Update of the AMS Assessment</b> 180. Does the plan include procedures for an ongoing and annual review of the AMS Assessment?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
<b>9000 – APPENDICES (OPTIONAL)</b> This section of the plan contains optional appendices.			
<b>9100 – Area Maritime Security (AMS) Committee Members</b> 181. Does the plan contain an appendix that provides a table containing contact and agency names, phone numbers, email addresses, and/or other specific information pertaining to AMS Committee members?	<input type="checkbox"/>		<input type="checkbox"/>
<b>9200 – Charts and Maps of Port Areas</b> 182. Does the plan contain an appendix that contains charts, satellite photographs, maps, or other spatial data defining the COTP zone boundaries for the port?	<input type="checkbox"/>		<input type="checkbox"/>
<b>9300 – Port Operations and Infrastructure</b> 183. Does the plan contain an appendix that includes portions of the AMS Assessment that list or detail critical port operations and/or infrastructure within the COTP zone?	<input type="checkbox"/>		<input type="checkbox"/>
<b>9400 – Risk-Based Scenarios</b> 184. Does the plan include an appendix that includes the results of the risk-based AMS Assessment pertaining to the identification of threat scenarios within the COTP zone?	<input type="checkbox"/>		<input type="checkbox"/>



# SENSITIVE SECURITY INFORMATION

(When Filled Out)

## AREA MARITIME SECURITY PLAN

Section	Satisfactory Addressed	Not Satisfactory Addressed	See Comments Below
<b>9500 – Dangerous Cargoes for Security Planning</b> 185. Does the plan contain an appendix that provides information pertaining to dangerous cargoes for security planning within the COTP zone?	<input type="checkbox"/>		<input type="checkbox"/>
<b>9600 – Glossary of Terms</b> 186. Does the plan contain a glossary of terms appendix?	<input type="checkbox"/>		<input type="checkbox"/>



**(When Filled Out)**

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# SENSITIVE SECURITY INFORMATION

(When Filled Out)

## Statement of Plan Review

Coast Guard District \_\_\_\_\_ has satisfactorily completed a thorough review of the Area Maritime Security Plan for the COTP \_\_\_\_\_. This plan is recommended for approval.

\_\_\_\_\_  
(Name/Title of Official)

\_\_\_\_\_  
Date

## Statement of Plan Approval

Coast Guard \_\_\_\_\_ Area has satisfactorily completed a final review of the Area Maritime Security Plan for the COTP \_\_\_\_\_. This plan meets all the required plan elements and is considered approved.

\_\_\_\_\_  
(Name/Title of Official)

\_\_\_\_\_  
Date

Attach an electronic copy of all correspondence submitted during the plan review and approval process.

# SENSITIVE SECURITY INFORMATION

(When Filled Out)

## Details of Port Facilities Form

(Compiled from IMO Circular letter No. 2514, Annex 2, Form 3)

**Discussion.** This form shall be used to document compliance with the International Ship & Port Facility Security Code and SOLAS Amendments 2002. The United States will be using pre-existing Captain of the Port zones as the IMO “Port Facility”.

**Directions:** The COTP/AMSC will complete the indicated sections of this form, and forward this with the completed Area Maritime Security Plan to their District Offices when the plan is submitted for review. The form will then be forwarded to the Area’s and then to HQ for completion, and forwarding to IMO.

1	<b>Detail of the Port</b>	<b>Name of Port</b> <i>Insert name of COTP zone as per 33 CFR Part 3.</i>	
		<b>Status</b> (whether the Port is open or closed) <i>Insert “Open”.</i>	Open
		<b>Port ID Number</b> <i>USCG HQ to assign number.</i>	
		<b>UN Locator</b> <i>USCG HQ to assign.</i>	
2	<b>Port Facility Name</b> <i>Insert name of COTP zone as per 33 CFR Part 3.</i>		
3	<b>Assigned Port Facility Number</b> <i>USCG HQ to assign number.</i>		
4	<b>Alternative Names for Port (if applicable)</b> <i>Insert the names of other major or tiered ports within the COTP zone.</i>		
5	<b>Port Facility Description</b> <i>Insert COTP zone description as per 33 CFR Part 3.</i>		
6	<b>Location</b>	<b>Longitude</b>	See Item 5 for Port Facility location (longitude/latitude) information.
		<b>Latitude</b> <i>Insert COTP zone longitude/latitude information in item 5 (Port Facility Description) as per 33 CFR Part 3.</i>	
7	<b>Port Facility Security Point of Contact</b> <i>Insert name, rank and address of COTP/FMSC.</i>		
8	<b>Port Facility Taken Part in Alternative Arrangement</b> <i>Insert “Not Applicable”.</i>		Not applicable.
9	<b>Port Facility has Approved Port Facility Security Plan</b> <i>USCG Area shall enter “Yes” or “No”.</i>		
10	<b>Date of Port Facility Security Plan Approval</b> <i>USCG Area shall enter plan approval date (day/month/year)</i>		
11	<b>Has This Port Facility Security Plan Been Withdrawn?</b> <i>USCG Area shall enter “Yes” or “No”.</i>		
12	<b>Port Facility Security Plan Withdrawn Date</b> <i>USCG Area shall enter plan withdrawal date (day/month/year).</i>		Not applicable.